

BrokerCheck Report

REUBEN ROOSEVELT MCDANIEL III

CRD# 1931798

Report #35677-36637, data current as of Thursday, November 21, 2013.

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About BrokerCheck®

BrokerCheck offers information on all current-and many former-FINRA-registered securities brokers, and all current and former FINRA-registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <http://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.nasaa.org>.

- **Are there other resources I can use to check the background of investment professionals?**

FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

Thank you for using FINRA BrokerCheck.



REUBEN R. MCDANIEL III

CRD# 1931798

Currently employed by and registered with the following FINRA Firm(s):

FIRST COMMONWEALTH SECURITIES CORPORATION

1650 JONESBORO ROAD
ATLANTA, GA 30315
CRD# 20854

Registered with this firm since: 02/22/2012

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 0 U.S. states and territories

Is this broker currently suspended or inactive with any regulator? **Yes**

This broker has passed:

- 3 Principal/Supervisory Exams
- 1 General Industry/Product Exam
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following FINRA firm(s):

JACKSON SECURITIES LLC

CRD# 19897
HOUSTON, TX
05/1999 - 08/2010

LLAMA COMPANY

CRD# 22440
FAYETTEVILLE, AR
06/1996 - 05/1999

BEAR, STEARNS & CO. INC.

CRD# 79
NEW YORK, NY
06/1993 - 05/1996

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Investment Adviser Representative Information

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at <http://www.adviserinfo.sec.gov>



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 0 U.S. states and territories through his or her employer.

This broker has 1 inactive SRO registration.

[For an explanation on inactive and suspended registrations, click here.](#)

Employment 1 of 1

Firm Name: **FIRST COMMONWEALTH SECURITIES CORPORATION**
 Main Office Address: **1650 JONESBORO ROAD
 ATLANTA, GA 30315**
 Firm CRD#: **20854**

SRO	Category	Status	Date
FINRA	Financial and Operations Principal	INACTIVE	09/12/2012
FINRA	General Securities Principal	INACTIVE	09/12/2012
FINRA	General Securities Representative	INACTIVE	09/12/2012
FINRA	Investment Banking Representative	INACTIVE	09/12/2012
FINRA	Municipal Securities Principal	INACTIVE	09/12/2012

Branch Office Locations

This individual does not have any registered Branch Office where the individual is located.



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 3 principal/supervisory exams, 1 general industry/product exam, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination	Series 24	05/21/1998
Financial and Operations Principal Examination	Series 27	08/30/2000
Municipal Securities Principal Examination	Series 53	07/21/1993

General Industry/Product Exams

Exam	Category	Date
General Securities Representative Examination	Series 7	05/13/1989

State Securities Law Exams

Exam	Category	Date
Uniform Securities Agent State Law Examination	Series 63	07/21/1989
Uniform Investment Adviser Law Examination	Series 65	12/31/2001

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Registration and Employment History

Registration History

The broker previously was registered with the following FINRA firms:

Registration Dates	Firm Name	CRD#	Branch Location
05/1999 - 08/2010	JACKSON SECURITIES LLC	19897	HOUSTON, TX
06/1996 - 05/1999	LLAMA COMPANY	22440	FAYETTEVILLE, AR
06/1993 - 05/1996	BEAR, STEARNS & CO. INC.	79	NEW YORK, NY
11/1991 - 06/1993	WALTON JOHNSON & COMPANY	26448	DALLAS, TX
05/1989 - 10/1991	DEAN WITTER REYNOLDS INC.	7556	PURCHASE, NY

Employment History

Below is the broker's employment history for up to the last 10 years.

Please note that the broker is required to provide this information only while registered with FINRA and the information is not updated after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment Dates	Employer Name	Employer Location
01/2011 - Present	RM CAPITAL MANAGEMENT, LLC	ATLANTA, GA
08/2010 - 01/2011	SELF-EMPLOYED	ATLANTA, GA
05/1999 - 08/2010	JACKSON SECURITIES LLC	ATLANTA, GA

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(A) JACKSON FINANCIAL CORP 100 AUBURN AVE NE ATL GA 30303, FINANCIAL HOLDING COMPANY; CEO, CHAIRMAN & SHAREHOLDER, OVERSEE MANAGEMENT, 3 HRS MTH, 1 HOUR DURING MARKET HRS.

(B) JACKSON CAPITAL LLC, 100 AUBURN AVE ATL GA 30303, CORPORATE FINANCIAL ADVISORY, CHAIRMAN, OVERSEE MGMT TEAM, INVESTMENT RELATED, 1 HOUR PER MONTH, 1 HOUR DURING MARKET HRS, SINCE 2002.

(C) ATLANTA BOARD OF EDUCATION, 130 TRINITY AVE ATL GA 30303, BOARD MEMBER, GOVERNING BODY FOR ATLANTA PUBIC SCHOOL SYSTEM, NOT INVESTMENT RELATED; SINCE 1/1/2010, OFFICE HELD TO

Registration and Employment History



Other Business Activities, continued

12/31/2013; 8 HRS MONTHLY; 5 DURING MARKET HOURS.

D) BANK OF ATLANTA, A REGIONAL BANK, 1349 WEST PEACHTREE STREET, ATL GA 30309, BOARD MEMBER, QUARTERLY BOARD MEETINGS, APPX 2 HRS, FINANCIAL INSTITUTION BUT NOT SECURITIES RELATED. COMPENSATION ONLY RECEIVED FROM THE ATLANTA PUBLIC SCHOOL SYSTEM BOARD POSITION.

End of Report



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